

Check all that apply I am financing:

I have previously applied with Cat Financial for:

Equipment financing Commercial account to pay for parts, service,

attachments or to rent equipment from a dealer D N/A

____/____

____Years as Owner of this Business _____

_/___

Other

| Financial | Caterpillar Financial Services C Caterpillar Financial Commerci 2120 West End Avenue, P.O. Bo Nashville, Tennessee 37203 | al Account Corporation | ccount Corporation 🛛 Equipment from an auction | | | 🗆 Equipme 🖵 Commer | Equipment financing Commercial account to pay for parts attachments or to rent equipment fro N/A | | | |
|-----------------------------------|---|---------------------------|--|------------------|-----------------------|-----------------------|---|---------------|--|--|
| - | RE REQUIRED FOR ALL CUSTON | IERS Your local Ca | t dealer | | | | | | | |
| | 2 | | | | | | | | | |
| Legal Business Na | ame | | | _ DBA (if any) | | | | | | |
| Type of Business | Corporation Limite | ed Liability Co 🛛 🖵 Gener | al Partnership | Limited Part | tnership 🛛 🖬 Sole Pro | prietor 🛛 🕁 M | unicipality | Trust | | |
| Description of Bus | iness | | | | | | | | | |
| Primary Contact N | ame | | 16 V | _ Title | | | | | | |
| Phone # | | | | rovide mobile ca | | | | | | |
| Physical Address | | City | | | | | | | | |
| Billing Address (if different) | | City | | | County | | State/Zip | / | | |
| Phone # | | Fax # | | В | Business Start Date | | Years as Owne | r of this Bus | | |
| Federal Tax ID Nur | mber | State Incorporated _ | | | Sales Tax Exempt | 🗅 Yes (please a | ittach certificate | e) 🗖 No | | |
| Prior bankruptcy? | D No | 🗆 Personal | Current Busin | ess C | Prior Business | | | | | |
| 2 OWNERSHI | P: Provide copy of governm | ent issued ID for all ov | wners comple | eting this secti | ion | | | | | |
| 1) Name | | Date of Birth | | SSN / | / % of Ov | vnership o | % Net Worth | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 2) Name | | Date of Birth | | SSN/_ | / % of Ov | /nership | % Net Worth _ | | | |
| Address | | | City | | State/Zip | / | Annual Inco | me | | |
| 3 REFERENCI | ES | Phone # | Contact | Name | Acc | ount # | | Average | | |
| Primary Bank Dep | osit Information | | | | | | | | | |
| Primary Bank Crec | dit Facility Information | | | | | | | | | |
| Additional (Cat dea | aler/equipment rental/bonding) | | | | | | | | | |

| Prior bankruptcy? | 🗆 No 🛛 | Personal | Current Business | Prior E | Business | | |
|---|--|--|------------------|----------------|---|---------------------------|----------------------|
| 2 OWNERSHIP: Provide | copy of governmen | t issued ID for all o | wners completin | g this section | | | |
| 1) Name Address | | | | | | | |
| 2) Name | | | | | | | |
| Address | | | City | | State/Zip/ | Annual Incom | е |
| 3 REFERENCES | Pi | hone # | Contact Na | me | Account # | ļ | Average Balance |
| Primary Bank Deposit Information | on | | | | | | |
| Primary Bank Credit Facility Info | ormation | | | | | | |
| Additional (Cat dealer/equipmer | | | | | | | |
| 2) | | | | | | | |
| 3) | | | | | | | |
| 4 COMPLETE if you are f | inancing PARTS SI | ERVICE ATTACHM | ENTS or BENTING | equinment from | a Cat dealer with Cat (| `ard | |
| Requesting a revolving credit lin | | | | • • | | 5,000 or more (see FINA | NCIAL section below) |
| Billing preference (select ONE of | or statement billing wil | l apply): | | | | | |
| Please choose Statement Billing | g if you are taking adva | ntage of a special fina | ncing program. | | | | |
| STATEMENT BILLING: Receiperiod. A minimum payment of 10 cycle, or pay in full without interestatement billing methods payment |)% (revolving) of the accou est charges. Rental charges | int balance plus interest is s are due in full by the due | due each payment | for each trans | LING: Immediately receive a saction you make, plus receive a f the invoice is due on the state | monthly summary of all pa | |
| Name(s) of individual(s) authoriz | zed to charge on accou | int: 1) Name | | | 2) Name | | |
| Contract Cradit Doportmont@cont | t a am ta raquaat additia | nal authorized years | | | | | |

Contact Credit.Department@cat.com to request additional authorized users.

5 LINE OF CREDIT DETAILS

> Finance equipment from an Auction

Requesting a line amount at:

\$_ Ritchie Brothers/Iron Planet Other:

FINANCIAL: Attach the following if financing exceeds \$350,000 for equipment purchases

Financial statements for the last 2 fiscal year-ends, latest interim statements and comparable interims from prior year (if fiscal year-end is over 120 days), and a detailed list of work on hand Additional financial information may be required.

NOTICES

Definitions: The terms "you" and "your" will refer to the person applying for financing, each Guarantor and each Signatory signing this credit application. The terms "we", "us" or "our" will refer to each of Caterpillar Financial Services Corporation ("CFSC") and Caterpillar Financial Commercial Account Corporation ("CFCA"). CFSC, CFCA, Caterpillar Inc. and their affiliates and subsidiaries are collectively referred to herein as "Caterpillar".

Representations and warranties: You represent that the information provided by you in this Credit Application (i) is true, correct and complete and (ii) is provided for the purpose of obtaining business credit from one or both of us.

Notice and Consent: We may collect and use personal and business contact information, personal and business details, credit and financial information (including, without limitation, credit reports), and government identifies. Any of your information supplied or obtained in connection with this Credit Application that relates to an identified or identifiable individual may sometimes be referred to herein as "Personal Information" (see Caterpillar's Global Data Privacy Statement at the link referenced below ("Privacy Statement") for a complete definition). We may collect your information directly from you or from other Caterpillar companies, sellers of Caterpillar products (each a "Dealer"), banks, consumer reporting agencies, financial institutions, merchants, customers or any other person or entity for the uses described herein. You further authorize and instruct each such person or entity to furnish, share or otherwise make accessible to us any such information in their possession. We may use and rely upon such information, and any information provided in this Credit Application, to: (a) make a credit decision to extend credit now or in the future pursuant to a subsequent application or request, (b) continue any previously provided credit, (c) review your account, (d) assist in any collection activity, and (e) share such information with any other person or entity, including but not limited to Caterpillar companies, Dealers, consumer reporting agencies, financial institutions, and merchants, to the extent permitted by law. Additionally, we may use and/or disclose any of your information, including any Personal Information, as required by law or regulation, or as requested by government authorities or for the protection of persons or property.

We may share your information, including any Personal Information, supplied or obtained in connection with this Credit Application, with Caterpillar, Dealers, and external service providers processing such information on our behalf. We may, from time to time, use such information and share such information among and with Caterpillar companies and Dealers to promote and market additional products or services of the Caterpillar companies to you. Caterpillar's Privacy Statement describes how Caterpillar collects, processes, and shares Personal Information and rights that individuals might have under applicable data privacy laws. Caterpillar also publishes its Data Governance Statement covering other matters relating to equipment or data collected by Caterpillar, including geolocation and operational data relating to equipment or owners or operators of the equipment from which telematicdata is received. By providing information, including Personal Information for this Credit Application, you agree: (1) that you have received and reviewed the Privacy Statement online at https://www.caterpillar.com/dataprivacy and the Data Governance Statement online at https://www.caterpillar.com/data_governance_statement; (2) to the collection, use, disclosure, and sharing of Personal Information as set forth in the Privacy Statement; and (3) that you will provide any individuals access to or a copy of the Data Governance Statement and the Privacy Statement before providing such individuals' information in our affiliates. Customer (or individuals representing Customer) may also authorize and/or consent to the collection, use, disclosure, and sharing of information and/or Personal Information in other agreements or documents with us or our affiliates, or Caterpillar dealers, and nothing contained herein shall interfere with or affect such agreements or documents in any way. You further agree that telematic data can be accessed for the management of risk contemplated by this application, at the present time or in the future.

To update your Personal Information or for additional information about how we handle the Personal Information, please contact us at:

Caterpillar Financial Services Corporation Attn: Customer Relations Manager Mailing Address: 2120 West End Avenue, P.O. Box 340001, Nashville, TN 37203 E-Mail: NABC.CustomerService@cat.com Phone: (800) 651-0567 Caterpillar Financial Commercial Account Corporation Attn: Customer Service Mailing Address: 2120 West End Avenue, P.O. Box 340001, Nashville, TN 37203 E-Mail: CatCard.CustomerService@cat.com Phone: (877) 373-9510

By signing below, each individual Signatory authorizes and instructs us to procure a personal consumer credit report in connection with this Credit Application. This application for credit is solely addressed to us. A decision to grant or deny business credit requested of any company on this joint application will be made by such company. We may, in our sole discretion, refuse to extend business credit, goods, or services to you.

Any references to a requested amount of credit in this Credit Application will not be deemed a limitation of liability by you. You understand and agree that any credit granted by us to you will be governed by the provisions and conditions set forth in the applicable agreements between us.

You acknowledge that this Credit Application is for business customers only (including sole proprietorships) and credit provided by us in connection with this Credit Application may not be used to acquire equipment or services for personal, household or family purposes. You acknowledge that you have read and fully understand the terms and conditions contained in this Credit Application.

The federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning these creditors is the FTC Regional Office for the region in which CFCA or CFCA operates or the Federal Trade Commission, Equal Credit Opportunity, Washington, DC 20580.

If your application for business credit is denied, you have the right to a written statement of the specific reasons for the denial. To obtain the statement, contact the applicable company denying the credit at the the applicable address below within 60 days from the date you are notified of our decision. We will send you a written statement of the reasons for the denial within 30 days from receiving your request for the statement.

> Caterpillar Financial Services Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203, (800) 651-0567 Caterpillar Financial Commercial Account Corporation, Attn: Credit Manager, 2120 West End Avenue, Nashville, TN 37203, (877) 373-9510

SIGNATURES

Required signatures: If you are a legal entity (e.g., corporation, limited liability company or limited liability partnership), an authorized person must sign below on your behalf in addition to each owner listed in this Credit Application. If you are a partnership or a sole proprietorship, each owner must sign below.

| Authorized Signature | | _ Date | | | |
|---|--------------|--------|--|--|--|
| Printed Name | Title | | | | |
| | | | | | |
| Ownership (To be completed by every owner identified in the OWNERSHIP Section of this Application; ID required) | | | | | |
| 1) Signature | Printed Name | Date | | | |

2) Signature _

____ Printed Name _____

Date _____